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UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Stanley Jungleib

SERIAL NO.:

08/891,580

FILING DATE:

7/11/97

TITLE:

System and Method for Generating, Distributing, Storing and

Performing Musical Work Files.

EXAMINER:

J. Donels

GROUP ART UNIT:

2837

ATTY.DKT.NO.:

PA612US

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231, on the date printed below:

Dated: /2/

Tuan V. Ngo

THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS WASHINGTON, D.C. 20231

LETTER TO THE CHIEF DRAFTSMAN

SIR:

Subject to the approval of the Primary Examiner in the above-entitled patent application, please substitute the enclosed eleven (11) sheets of formal drawings containing fourteen (14) figures for the eleven (11) sheets of informal drawings containing fourteen (14) figures previously submitted.

Respectfully submitted,

Stanley Jungleib

Dated:

Tuan V. Ngo, Reg. No. P-44,259

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Applicants or Patentees: Stanley Jungleib Serial or Patent No.: 08/891,580 Filed or Issued: 07/11/97 For: System and Method for Generating, Distributing, Storing and Performing Musical Work Files VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN I hereby declare that I am: [] the owner of the small business concern identified below: [X] an official of the small business concern empowered to act on behalf of the concern identified below: NAME OF CONCERN Seer Systems, Inc. ADDRESS OF CONCERN 301 South San Antonio Road, Los Altos, CA 94022 I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.2, and reproduced in 37 CFR 1.9
Serial or Patent No.: 08/891,580 Filed or Issued: 07/11/97 For: System and Method for Generating, Distributing, Storing and Performing Musical Work Files VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) and 1.27 (c)) - SMALL BUSINESS CONCERN I hereby declare that I am: [] the owner of the small business concern identified below: [X] an official of the small business concern empowered to act on behalf of the concern identified below: NAME OF CONCERN Seer Systems, Inc. ADDRESS OF CONCERN 301 South San Antonio Road, Los Altos, CA 94022 I hereby declare that the above identified small business concern qualifies as a
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number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern control or has the power to control the other, or a third party or parties controls or has the
power to control both.
power to control both. I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "System and Method for Generating, Distributing, Storing and
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "System and Method for Generating, Distributing, Storing an Performing Musical Work Files", by inventor Stanley Jungleib described in [] the specification filed herewith. [X] application serial no. 08/891,580, filed 07/11/97.
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled "System and Method for Generating, Distributing, Storing an Performing Musical Work Files", by inventor Stanley Jungleib described in [] the specification filed herewith. [X] application serial no. 08/891,580, filed 07/11/97.
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If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME __ ADDRESS

[] INDIVIDUAL [X] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of the Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.
NAME OF PERSON SIGNING ROYANDE LAWE
TITLE OF PERSON IF OTHER THAN OWNER VICE President, Finance
ADDRESS OF PERSON SIGNING 301 South San Antonio Rd., Los Altos, CA 94022
SIGNATURE ROULD DATE 11/6/98